

Schedule of Completed 2023/24 Audit Plan

Appendix 1

| Item No | Directorate | Area of Review | Status | Opinion |
|---------|-------------------------|--|----------------------------|------------|
| 1 | Adult and Communities | Food Equality Strategy | Complete | Reasonable |
| 2 | Adult and Communities | Adult Social Care Provider Failure | Complete | Reasonable |
| 3 | Children and Education | SEND Plan | Complete | Reasonable |
| 4 | Children and Education | Dedicated Schools Grant Improvement Plan | Complete | Reasonable |
| 5 | Corporate | Supply Chain and Third Party Risk | Complete | Reasonable |
| 6 | Corporate | Sickness Absence Management | Complete | Reasonable |
| 7 | Growth and Regeneration | Climate Change | Complete | Reasonable |
| 8 | Growth and Regeneration | Temporary Accommodation - Financial Controls | Complete | Reasonable |
| 9 | Resources | NNDR Collection | Complete | Reasonable |
| 10 | Resources | Digital Strategic Partnership | Complete | Reasonable |
| 11 | Resources | Preparedness for Committee Governance | Complete | Reasonable |
| 12 | Children and Education | Transition from Children in Care to Adults | Complete | Limited |
| 13 | Corporate | Procurement Compliance | Complete | Limited |
| 14 | Corporate | Health and Safety Processes Follow Up. | Complete | Limited |
| 15 | Resources | Debt Management | Complete | Limited |
| 16 | Resources | Agency Staff | Complete | Limited |
| 17 | Adult and Communities | Care Quality Commission Assurance Framework Preparedness | Complete | N/A |
| 18 | Children and Education | Schools Audit - 2022/23 Overarching Report | Complete | N/A |
| 19 | Children and Education | Schools Financial Value Standard | Complete | N/A |
| 20 | Corporate | Annual Governance Statement 2022/23 | Complete | N/A |
| 21 | Corporate | Grant and Other Certifications - see below | Complete | N/A |
| 22 | Corporate | Management Actions Verification | Complete | N/A |
| 23 | Growth and Regeneration | Client Team re Companies | Complete | N/A |
| 24 | Growth and Regeneration | Capital Programme Risk Assessment | Complete | N/A |
| 25 | Children and Education | Schools Follow Up | 6 Complete 4 Finalising | N/A |
| 26 | Adult and Communities | Refugees Settlement Service | Draft Report | |
| 27 | Corporate | City Leap Governance | Draft Report | |
| 28 | Adult and Communities | Adult Social Care Transformation | Draft Report | |
| 29 | Corporate | Cyber Security Position Statement | Draft Report | |
| 30 | Growth and Regeneration | Planned Repairs | In Progress | |
| 31 | Growth and Regeneration | Licensing Income | In Progress | |
| 32 | Children and Education | Education Other Than at School | In Progress | |
| 33 | Resources | Records Management | In Progress | |
| 34 | Growth and Regeneration | Housing Debt Management | In Progress | |
| 35 | Growth and Regeneration | Capital Projects Audit (Sample) | Planning | |
| 36 | Adult and Communities | Embedded Assurance - Adult Social Care Programme | Scheduled | |
| 37 | Corporate | Continuous Auditing and Monitoring | Ongoing | |
| 38 | Children and Education | Embedded Assurance - Children and Education Programme | Ongoing | |
| 39 | Growth and Regeneration | Embedded Assurance - Temporary Accommodation Programme | Ongoing | |
| 40 | Growth and Regeneration | Embedded Assurance -Property Programme | Ongoing | |
| 41 | Growth and Regeneration | Embedded Assurance - Capital Transformation Programme | Ongoing | |
| 42 | Growth and Regeneration | Embedded Assurance - ASEA Flood Programme | Ongoing | |
| 43 | Resources | Embedded Assurance - Digital Transformation Programme | Ongoing | |
| 44 | Growth and Regeneration | Embedded Assurance - Housing IT Transformation Programme | Ongoing | |
| 45 | Resources | Information Governance Board - Embedded Assurance | Ongoing | |

Quarter 1 and 2 - 2023/24 Grant Certifications Completed.

| Item No | Directorate | Audit Name | Certified Value |
|---------|-------------------------|--|-----------------|
| 46 | Growth and Regeneration | A4018 Corridor Improvements Grant | £522,237.59 |
| 47 | Growth and Regeneration | Active Social Prescribing Pilot | £50,143.15 |
| 48 | Growth and Regeneration | Active Travel Fund Tranche 3: Cotham Hill | £112,968.18 |
| 49 | Growth and Regeneration | Active Travel Fund Tranche 3: Old Market Gap 31/6014 | £280,314.35 |
| 50 | Growth and Regeneration | Active Travel Fund Tranche 3: Park Row/Upper Maudlin | £149,437.43 |
| 51 | Growth and Regeneration | Bristol City Centre and High Streets Recover and Renewal Grant | £638,083.16 |
| 52 | Growth and Regeneration | Biodiversity Net Gain Grant | £43,467.00 |
| 53 | Growth and Regeneration | City Centre Economic Development and Markets | £18,698.74 |
| 54 | Growth and Regeneration | City Centre DDP ad Frome Gateway 2022/23 | £235,000.00 |
| 55 | Growth and Regeneration | City Region Sustainable Transport Settlement | £2,371,217.00 |
| 56 | Growth and Regeneration | Concorde Way/Dover Court Depot | £238,928.81 |
| 57 | Growth and Regeneration | CTSI Funding for Lettings Agency 2022/23 | £214,922.05 |
| 58 | Growth and Regeneration | Digging For Delft | £47,946.58 |
| 59 | Growth and Regeneration | Disabled Facilities Grant | £3,517,977.00 |
| 60 | Growth and Regeneration | ELENA programme for European Investment Bank (EIB) | £1,461,157.53 |
| 61 | Growth and Regeneration | Frome Gateway Framework & Infrastructure Delivery Plan | £494,000.00 |

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| 62 | Growth and Regeneration | Future Bright | £520,337.94 |
| 63 | Growth and Regeneration | Hawkfield Business Park | £3,109,750.70 |
| 64 | Growth and Regeneration | Highways and Transport Capital Grant | £8,165,657.73 |
| 65 | Growth and Regeneration | High Street Renewal Catalyst Fund Grant | £59,451.00 |
| 66 | Growth and Regeneration | Home Upgrade Grant Works (HUG'S 1) | £1,533,969.58 |
| 67 | Growth and Regeneration | Home Upgrade Grant Works (HUG'S 2) | £108,152.17 |
| 68 | Growth and Regeneration | Maintenance Challenge Fund - New Cut Bridges | £722,668.51 |
| 69 | Growth and Regeneration | North and East Bristol – Universal Business Support Grant | £449,817.02 |
| 70 | Growth and Regeneration | Old City And King Street 2 – Feasibility And Development Application Grant | £93,000.00 |
| 71 | Growth and Regeneration | Old City and King Street Grant | £516,242.03 |
| 72 | Growth and Regeneration | Portway Park & Ride Extension | £575,325.29 |
| 73 | Growth and Regeneration | Reboot West | £296,868.26 |
| 74 | Growth and Regeneration | Scambuster and NTS Funding for Regional Intelligence Functions | £309,000.00 |
| 75 | Growth and Regeneration | Regional Intelligence Functions Sub -Grant | £89,221.00 |
| 76 | Growth and Regeneration | South Bristol Enterprise Support | £170,496.32 |
| 77 | Growth and Regeneration | South Bristol Light Industrial Workspace Grant | £2,841,886.34 |
| 78 | Growth and Regeneration | Transforming Cities Bristol Bridge Signals Junction and Car Park VMS project | £273,121 |
| 79 | Growth and Regeneration | Western Harbour Feasibility | £142,830.00 |
| 80 | Children & Education | Youth Justice Grant 2023/24 | £726,557.00 |
| 81 | Growth and Regeneration | Zero Emissions Transport City Grant. | £48,308.00 |
| | | | Total |
| | | | £31,149,158.46 |